

## Closing Meeting Held on 20/10/2022

### Monitoring

**Monitored Party:** SHAONENG GROUP LUZHOU ECO(XINFENG)TECHNOLOGY CO.,LTD.

**Monitoring ID:** 22-0148337

**amfori ID:** 156-041585-000

**Main Site:** Site 1

156-041585-001



This is an extract of the online Monitoring Report, and is only valid as an acknowledgement of findings. The complete report is available on the amfori Platform.

### Findings for main site Site 1

#### PA1: Social Management System

Main Site: Site 1

156-041585-001

##### Finding(s)

Based on satisfactory evidence, the main auditee partially respected this principle because the main auditee had set some management procedure to implement the BSCI Code of Conduct, but not all policies were properly conducted. For example, some non-compliance were noted in PA2, PA5, PA6, PA7, PA13. (In accordance with amfori BSCI Code of Conduct)

根据充分证据，在此次审核过程中，工厂部分遵守了此领域要求，建立了确保BSCI有效实施的管理制度，但不是所有制度都能有效实施。比如工厂在PA2, PA5, PA6, PA7, PA13领域均有缺失。(根据amfori BSCI Code of Conduct)

##### Finding(s)

Based on satisfactory evidence, the main auditee partially respected this principle because the auditee had established workforce capacity procedure without assessment records kept, also finding at PA6.2 reflected that workforce planning was not effective. (In accordance with amfori BSCI Code of Conduct)

根据充分证据，在此次审核过程中，工厂部分遵守了此项要求，工厂有建立生产能力评估程序但没有评估记录，同时，问题点PA6.2也反映出人力规划并不是有效的。(根据amfori BSCI Code of Conduct)



## PA 2: Workers Involvement and Protection

Main Site: Site 1

156-041585-001

### Finding(s)

Based on satisfactory evidence, the main auditee partially respected this principle because, though the facility had posted the BSCI Code of Conduct publicly, arranged related training, some interviewed workers were not clearly aware of BSCI values and principles. (In accordance with amfori BSCI Code of Conduct)

基于满意的证据，被审核方部分尊重该原则，工厂在车间张贴了BSCI行为准则，安排了相应培训，但一些受访员工并不太清楚BSCI的标准和原则。(根据 amfori BSCI 行为准则)

### Finding(s)

Based on satisfactory evidence, the main auditee partially respected this principle because: the main auditee did not conduct satisfaction surveys on the grievance mechanism according to the BSCI Code of Conduct. (In accordance with Amfori BSCI Code of Conduct)

根据充分证据，工厂部分尊重该原则，未根据BSCI行为准则对员工申诉机制做满意度调查。(根据Amfori BSCI Code of Conduct)

## PA 5: Fair Remuneration

Main Site: Site 1

156-041585-001

### Finding(s)

Based on satisfactory evidence, the main auditee didn't respect this principle because the auditee didn't investigate and calculate local living wage before the audit. (In accordance with amfori BSCI Code of Conduct)

根据充分证据，工厂没有符合此领域要求，被审核方没有在审核前完成最低生活需求工资的调查和计算。(根据amfori BSCI Code of Conduct)

## PA 6: Decent Working Hours

Main Site: Site 1

156-041585-001

### Finding(s)

Based on satisfactory evidence, the main auditee didn't respect this principle because based on 21 sampled employees' attendance records (10 samples from the most recent month of September 2022, 6 samples from June 2022 and 6 samples from December 2021), It was noted that the monthly overtime hours of 10 out of 10 randomly selected workers exceeded 36 hours in September 2022 with the highest of 70 hours; 6 out of 6 exceeded 36 hours in June 2022 with the highest of 86 hours; 6 out of 6 exceeded 36 hours in December 2021 with the highest of 86 hours. Daily overtime was 2 hours per day, 5 days at most per week. (In accordance with Article 41 of the Labor Law of the PRC)

根据充分证据，在此次审核过程中，工厂没有遵守此项要求，根据2022年9月，2022年6月和2021年12月抽样的共22名员工的考勤记录(2022年9月10名，2022年6月6名，2021年12月6名)，在此次审核中，审核员发现在随机抽取在2022年9月的10名工人中，有10名工人的月加班时间超过36小时，最高达到70小时；在2022年6月，随机抽取的6名工人中有6名工人月加班时间超过36小时，最高



达到86小时；在2021年12月，随机抽取的6名工人中有6名工人月加班时间超过36小时，最高达到86小时。日加班每天2小时，最多每周5天。(根据中华人民共和国劳动法第41条)

## PA 7: Occupational Health and Safety

Main Site: Site 1

156-041585-001

### Finding(s)

Based on satisfactory evidence, the main auditee partial respect this principle because 1. The factory had established management system on health and safety, included the identify and awareness of related legal regulation, health and safety check, training etc. However, some H&S issues were identified during the audit. (In accordance with amfori BSCI Code of Conduct)

根据充足证据，工厂部分达到此项要求。工厂已建立健康安全管理体系，包括相关法规的识别与了解，健康安全检查和培训等，但在审核过程中依旧存在着一些健康安全问题。(根据amfori BSCI Code of Conduct)

### Finding(s)

Based on satisfactory evidence, the main auditee partially respects this principle because it was noted that the factory provided earplugs for its workers who worked in molding section on 2F of one block of 2-storey production building but 5 workers did not use earplugs during work. (In accordance with PRC Safety Manufacturing Law article 42)

根据充分证据，被审核方（生产商）部分遵循该准则。原因是：审核员发现工厂提供耳塞给生产楼2楼成型部的工人但是5名员工上班时不佩戴耳塞。(根据《中华人民共和国安全生产法》第42条)

### Finding(s)

Based on satisfactory evidence, the main auditee partially respected this principle because it was noted that the factory did not have safety facilities such as anti-leakage facilities for 5 buckets of fluororesin stored in warehouse on 1F of one block of 2-storey production building. (In accordance with Regulation for Safety of Dangerous Chemical article 20)

根据充足证据，工厂部分达到此项要求，工厂一栋2层厂房1楼仓库使用5桶氟树脂没有设置相应的安全设施，如防渗漏设施。(根据《危险化学品安全管理条例》第二十条)

## PA 13: Ethical Business Behaviour

Main Site: Site 1

156-041585-001

### Finding(s)

Based on satisfactory evidence, the main auditee partially respected this principle because the factory had established procedures against any act of corruption, training of this part was provided with workers. However, one worker representative and workers did not know the anti-bribery and anti-corruption regulation. (In accordance with amfori BSCI Code of Conduct)

根据充足证据，工厂部分达到此项要求，工厂虽然有建立处理腐败行为的程序，给员工提供此部分的培训，但工人代表和工人不知道反贿赂反腐败规定。(根据amfori BSCI Code of Conduct)

## Acknowledgement

Date

2022/10.20

Place

Monitoring Partner

Acknowledged by the Lead  
Monitoring Person

Willa Chen

Management Representative's  
Name

王國輝

Management Representative's  
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